Practitioner's Docket No.

PATENT

Preliminary Classification:

Proposed Class

Subclass

NOTE "All applicants are requested to include a preliminary classification on newly filed patent applications The preliminary classification, preferably class and subclass designations, should be identified in the upper right-hand comer of the letter of transmittal accompanying the application papers, for example 'Proposed Class 2, subclass 129' " MPEP § 601, 7th ed



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): KO, Chin-Ho

WARNING: 37 C F R § 1 41(a)(1) points out:

"(a) A patent is applied for in the name or names of the actual inventor or inventors

"(1) The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by § 1 63, except as provided for in § 1 53(d)(4) and § 1 63(d) If an oath or declaration as prescribed by § 1 63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to § 1 53(b), unless a petition under this paragraph accompanied by the fee set forth in § 1 17(i)

is filed supplying or changing the name or names of the inventor or inventors." DEVICE FOR TRAINING THE STANCE OF A GOLFER

For (title)

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10* (When using Express Mail, the Express Mail label number is mandatory, Express Mail certification is optional)

I hereby certify that, on the date shown below, this correspondence is being:

		MAILING		
	deposited with the United States Postal Se for Patents, Washington, D.C. 20231	vice in an envelope addressed	to the Assista	nt Commissioner
	37 C.F.R. § 1.8(a)	37 C.F.	R. § 1.10 *	2.3
	with sufficient postage as first class mail	as "Express Mail Post	Office to Addre	essee"
		Mailing Label No		(mandatory)
	1	RANSMISSION		
	facsimile transmitted to the Patent and Trac	emark Office, (703)		
		Signature		
Dat	ię:	John S. Egbe	ert	
		(type or print name of per	son cedifying)	

*Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness See § 1 703(f) Consider "Express Mail Post Office to Addressee" (§ 1 10) or facsimile transmission (§ 1 6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations

(New Application Transmittal [4-1]—page 1 of 12)

1. Type of Application

This new application is for a(n)

(check one applicable item below)

	· ·					
X	Original (nonprovisional)					
	Design		***			
4	☐ Plant		42			
WARNING	Do not use this transmittal for a USC § 371(c)(4), unless the In or continuation-in-part applications	itemational Ap				
WARNING	G: Do not use this transmittal for t	the filing of a	orovisional ap _l	olication	•	
TI.	one of the following 3 items apply, th RANSMITTAL WHERE BENEFIT OI N PARENT APPLICATION OF THE	F A PRIOR U	S APPLICATI	ON CLAIME	and a NOT	
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	Continuation		, ,			-
	Continuation-in-part (C-I-P)	•				
Renef	it of Prior U.S. Application	(c) (35 11 S	C 88 110	a) 120 or	121)	

J.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

NOTE A nonprovisional application may claim an invention disclosed in one or more prior filed copending nonprovisional applications or copending international applications designating the United States of America In order for a nonprovisional application to claim the benefit of a prior filed copending nonprovisional application or copending international application designating the United States of America, each prior application must name as an inventor at least one inventor named in the later filed nonprovisional application and disclose the named inventor's invention claimed in at least one claim of the later filed nonprovisional application in the manner provided by the first paragraph of 35 U S C § 112 Each prior application must also be:

- (i) An international application entitled to a filing date in accordance with PCT Article 11 and designating the United States of America, or
 - (ii) Complete as set forth in § 151(b), or.
- (iii) Entitled to a filing date as set forth in § 1.53(b) or § 1.53(d) and include the basic filing fee set forth in § 116, or
- (iv) Entitled to a filing date as set forth in § 1 53(b) and have paid therein the processing and retention fee set forth in § 1 21(I) within the time period set forth in § 1 53(f)

37 CFR § 1 78(a)(1)

If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., or benefit of a prior provisional application is claimed, then check the following item and complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICA-TION(S) CLAIMED

WARNING: If an application claims the benefit of the filing date of an earlier filed application under 35 U S C §§ 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest US application that the application makes reference to under 35 USC §§ 120, 121 or 365(c) (35 U S C § 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. §§ 119, 365(a) or 365(b)) For a C-i-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach See Notice of April 14, 1995, 60 Fed Reg 20,195, at 20,205

(New Application Transmittal [4-1]-page 2 of 12)

WARNIN	G: When the last day of pendency of a provisional application falls on a Saturday, Sunday, or Federal holiday within the District of Columbia, any nonprovisional application claiming benefit of the provisional application must be filed prior to the Saturday, Sunday, or Federal holiday within the District of Columbia. See 37 C.F.R. § 1.78(a)(3).
	The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.
3. Pape	ers Enclosed
	equired for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 esign) Application
· · · · · · · · · · · · · · · · · · ·	4_ Pages of specification
	2 Pages of claims
· · · ·	9 Sheets of drawing
WARNIN	G: DO NOT submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the
	'Identifying indicia, if provided, should include the application number or the title of the invention, niventor's name, docket number (if any), and the name and telephone number of a person to call if the Office is unable to match the drawings to the proper application. This information should be placed on the back of each sheet of drawing a minimum distance of 1.5 cm. (% inch) down from the top of the page" 37 C.F.R. § 1.84(c)). (complete the following, if applicable) The enclosed drawing(s) are photograph(s). Three (3) sets of photographs and a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)" are attached. 37 C.F.R. § 1.84(b).
	The enclosed drawing(s) are in color. Three (3) sets of color drawings and a "PETITION TO ACCEPT COLOR DRAWING(S)" are attached. 37 C.F.R. §§ 1.84(a)(2) and 1.84(b).
П	formal
	informal
. "	her Papers Enclosed
3	Pages of declaration and power of attorney
· . · · 	Pages of abstract
	Other
4 Addi	tional papers enclosed
-i. Addi	Amendment to claims
	Cancel in this applications claims before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
	Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)

(New Application Transmittal [4-1]—page 3 of 12)

1	X	Preliminary Amendment
. (Information Disclosure Statement (37 C.F.R. § 1.98)
{		Form PTO-1449 (PTO/SB/08A and 08B)
ſ		Citations
. (Declaration of Biological Deposit
	□ .	Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
(<u> </u>	Authorization of Attorney(s) to Accept and Follow Instructions from Representative
. [<u></u>	Special Comments
. [X	Other App. Data Sheet; Nonpublication Request
. De	cla	ration or oath (including power of attorney)
	the by ap the by be de pe	newly executed declaration is not required in a continuation or divisional application provided that a prior nonprovisional application contained a declaration as required, the application being filed is all or fewer than all the inventors named in the prior application, there is no new matter in the application being filed, and a copy of the executed declaration filed in the prior application (showing a signature or an indication thereon that it was signed) is submitted. The copy must be accompanied a statement requesting deletion of the names of person(s) who are not inventors of the application ring filed. If the declaration in the prior application was filed under § 1.47, then a copy of that accompanied by a copy of the decision granting § 1.47 status or, if a nonsigning arounder § 1.47 has subsequently joined in a prior application, then a copy of the subsequently ecuted declaration must be filed. See 37 C.F.R. §§ 1.63(d)(1)–(3).
NOTE:	is ab	declaration filed to complete an application must be executed, identify the specification to which it directed, identify each inventor by full name including family name and at least one given name, without breviation together with any other given name or initial, and the residence, post office address and untry or citizenship of each inventor, and state whether the inventor is a sole or joint inventor. 37 F.R. § 1.63(a)(1)-(4).
NOTE:	as as is thi	the inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration prescribed by § 1.62, except as provided for in § 1.53(d)(4) and § 1.63(d). If an oath or declaration prescribed by § 1.63 is not filed during the pendency of a nonprovisional application, the inventorship that inventorship set forth in the application papers filed pursuant to § 1.53(b), unless a petition under is paragraph accompanied by the fee set forth in § 1.17(f) is filed supplying or changing the name names of the inventor or inventors." 37 C.F.R. § 1.41(a)(1).
(2	X	Enclosed
		Executed by
	·,	(check all applicable boxes)
· · .		☑ inventor(s).
		☐ legal representative of inventor(s). 37 C.F.R. §§ 1.42 or 1.43.
		joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
		☐ This is the petition required by 37 C.F.R. § 1.47 and the statement required by 37 C.F.R. § 1.47 is also attached. See item 13 below for fee.
C).	Not Enclosed.
NOTE:	the ma	there the filing is a completion in the U.S. of an International Application or where the completion of a U.S. application contains subject matter in addition to the International Application, the application by be treated as a continuation or continuation-in-part, as the case may be, utilizing ADDED PAGE OR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.
		(New Application Transmittal [4-1]—page 4 of 12)

	• •	s made by a pe the above name	; .	•	37 C.F.R. §	1.41(c) on
(The d	eclaration or oath,	along with the can be filed			37 C.F.R. §	1.16(e)
	☐ Showing	that the filing i	s authorize	ed.		
		uired unless call			.F.R. § 1.41	(d))
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7. Langu	ıage					
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	The attached rate. 37 C.F.	translation incl R. § 1.52(d).	udes a sta	tement that	the translation	on is accu-
3. Assig	nment					
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9. Certified Copy

Certified copy(ies) of application(s)

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Country		E	Appln.	No.			Filed
Country			Appln.	No.		•	Filed
Country		T	Appln.	No.		.	Filed
from which pri	ority is claimed		,				
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U.S. ap § 120 i: PAGES CLAIME	•	ional Applica iority from a ATION TRA	ation from prior forei NSMITTAL	which this gn applica	application of attion, then con	laims ber nplete ite	nefit under 35 U.S.C m 18 on the ADDEL
10. Fee Calc	ulation (37 C.F	.R. § 1.10	6)				
A. A. Reg	jular application						
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Number f	Hea	Nur	nber Ext	ira 	Rate '		Basic Fee C.F.R. § 1.16(a) \$750.00
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* .		J .			oplication Tra	nsmittal I	4-1]—page 6 of 12

C.	Plant application
	(\$480.00-37 C.F.R. § 1.16(g))

Filing fee calculation

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Ψ	_	 7.1	 _

11. Assertion of Small Entity Status

Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27

NOTE: 37 C.F.R. § 1.27(c) deals with the assertion of small entity status, whether by a written specific declaration thereof or by payment as a small entity of the basic filing fee or the fee for the entry into the national phase and states:

"(c) Assertion of small entity status. Any party (person, small business concern or nonprofit organization) should make a determination, pursuant to paragraph (f) of this section, of entitlement to be accorded small entity status based on the definitions set forth in paragraph (a) of this section, and must, in order to establish small entity status for the purpose of paying small entity fees, actually make an assertion of entitlement to small entity status, in the manner set forth in paragraphs (c)(1) or (c)(3) of this section, in the application or patent in which such small entity fees are to be paid.

- (1) Assertion by writing. Small entity status may be established by a written assertion of entitlement to small entity status. A written assertion must:
 - (i) Be clearly identifiable;
 - (ii) Be signed (see paragraph (c)(2) of this section); and
 - (iii) Convey the concept of entitlement to small entity status, such as by stating that applicant is a small entity, or that small entity status is entitled to be asserted for the application or patent. While no specific words or wording are required to assert small entity status, the intent to assert small entity status must be clearly indicated in order to comply with the assertion requirement.
- (2) Parties who can sign and file the written assertion. The written assertion can be signed by:
- (i) One of the parties identified in § 1.33(b) (e.g., an attorney or agent registered with the Office), § 3.73(b) of this chapter notwithstanding, who can also file the written assertion;
- (ii) At least one of the individuals Identified as an inventor (even though a § 1.63 executed oath or declaration has not been submitted), notwithstanding § 1.33(b)(4), who can also file the written assertion pursuant to the exception under § 1.33(b) of this part; or
- (iii) An assignee of an undivided part interest, notwithstanding §§ 1.33(b)(3) and 3.73(b) of this chapter, but the partial assignee cannot file the assertion without resort to a party identified under § 1.33(b) of this part.
- (3) Assertion by payment of the small entity basic filing or basic national fee. The payment, by any party, of the exact amount of one of the small entity basic filing fees set forth in §§ 1.16(a), (f), (g), (h), or (k), or one of the small entity basic national fees set forth in §§ 1.492(a)(1), (a)(2), (a)(3), (a)(4), or (a)(5), will be treated as a written assertion of entitlement to small entity status even if the type of basic filing or basic national fee is inadvertently selected in error.
- (i) If the Office accords small entity status based on payment of a small entity basic filing or basic national fee under paragraph (c)(3) of this section that is not applicable to that application, any balance of the small entity fee that is applicable to that application will be due along with the appropriate surcharge set forth in § 1.16(e), or § 1.16(f).
- (ii) The payment of any small entity fee other than those set forth in paragraph (c)(3) of this section (whether in the exact fee amount or not) will not be treated as a written assertion of entitlement to small entity status and will not be sufficient to establish small entity status in an application or a patent."

as a small entity must be reissue application in we application or patent do the relationship of the continuation, divisional, application under § 1.53	"Assertion required in related, continuing, and be specifically established by an assertion in exhich status is appropriate and desired. Status of any other applications or patents. The refiling of an applications or patents application (including allow), or the filing of a reissue application, reconstanting of a small entity status for the continuing or relations.	each related, continuing and tus as a small entity in one tion or patent, regardless of plication under § 1.53 as a ng a continued prosecution quires a new assertion as to
	t not be established when the person or person the the required self-certification." M.P.E.P., §	
(сотр	plete the following, if applicable)	
☐ Status as a small en	ntity was asserted in the prior applic	eation
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	this application under:	•
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Filing Fee Calculation	n (50% of A, B or C above)	
		\$_375
2. Request for International	I-Type Search (37 C.F.R. § 1.104(d))
	(complete, if applicable)	
	ernational-type search report for this	application at the time

3. Fee F	Payment Being Made at This Time		4	
	Not Enclosed			
	○ No filing fee is to be paid at this time.			
	(This and the surcharge required by 37 C.F.R. § 1.1 subsequently.)	6(e)	can b	e paid
<u> </u>	Enclosed			
		Φ.	375	
	☑ Filing fee	Ф		
1.	Recording assignment (\$40.00; 37 C.F.R. § 1.21(h))			
•	(See attached "COVER SHEET FOR			
	ASSIGNMENT ACCOMPANYING NEW	•		
• •	APPLICATION".)	\$	·	
	☐ Petition fee for filing by other than all the			•
	inventors or person on behalf of the inventor			***
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	Processing and retention fee (\$130.00; 37 C.F.R. §§ 1.53(d) and 1.21(l))	\$	· · · ·	
	Fee for international-type search report (\$40.00; 37 C.F.R. § 1.21(e))	\$		
fail 37 eith	C.F.R. § 1.21(I) establishes a fee for processing and retaining any application ing to complete the application pursuant to 37 C.F.R. § 1.53(f) and this, as C.F.R. §§ 1.53 and 1.78(a)(1), indicate that in order to obtain the benefit of a term the basic filing fee must be paid, or the processing and retention fee of thin 1 year from notification under § 53(f).	well a prior \$ 1.2	s the cha U.S. app 1(I) must	anges to olication,
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	to Deposit Account No. 08-0879	•		4.
	to Credit card as shown on the attached credit card info tion form PTO-2038.	mat	ion aut	horiza-
WARNING:	Credit card information should not be included on this form as it may be	come	public.	
	Charge any additional fees required by this paper or credit in the manner authorized above.	any	overpa	ayment
	A duplicate of this paper is attached.			

o. Addionzation to Onlinge Additional Fees
WARNING: If no fees are to be paid on filing, the following items should not be completed.
WARNING: Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges if extra claim charges are authorized.
The Office is hereby authorized to charge, in the manner shown above, the following additional fees that may be required by this paper and during the entire pendency of this application.
☐ 37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims)
NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.16(d)), it might be best no to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action.
37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
37 C.F.R. § 1.17(a)(1)–(5) (extension fees pursuant to § 1.136(a)).
☐ 37 C.F.R. § 1.17 (application processing fees)
NOTE: ". A written request may be submitted in an application that is an authorization to treat any concurrent or future reply, requiring a petition for an extension of time under this paragraph for its timely submission as incorporating a petition for extension of time for the appropriate length of time. An authorization to charge all required fees, fees under § 1.17, or all required extension of time fees will be treated as a constructive petition for an extension of time in any concurrent or future reply requiring a petition for an extension of time under this paragraph for its timely submission. Submission of time in any concurrent reply requiring a petition for an extension of time under this paragraph for its timely submission." 37 C.F.R. § 1.136(a)(3).
☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance pursuant to 37 C.F.R. § 1.311(b))
NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 C.F.R. § 1.311(b).
NOTE: 37 C.F.R. § 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application prior to paying, or at the time of paying, the issue fee " From the wording of 37 C.F.R. § 1.28(b), (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.
6. Instructions as to Overpayment
NOTE: " Amounts of twenty-five dollars or less will not be returned unless specifically requested within a reasonable time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may be returned by check or, if requested, by credit to a deposit account." 37 C.F.R. § 1.26(a).
☑ Credit Account No. <u>08-0879</u>
☐ Refund

Reg. No. 30,627

Tel. No. () 713-224-8080

Customer No. 24106

SIGNATURE OF PRACTITIONER

John S. Egbert

(type or print name of attorney)
Harrison & Egbert
412 Main Street, 7th Floor

P.O. Address

Houston, Texas 77002

(New Application Transmittal [4-1]—page 11 of 12)

با	incorb	poration by reference of added pages
	pri sta the	neck the following item if the application in this transmittal claims the benefit of U.S. application(s) (including an international application entering the U.S. age as a continuation, divisional or C-I-P application) and complete and attach & ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF BIOR U.S. APPLICATION(S) CLAIMED)
	🗖	Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed
		Number of pages added
	\Box	Plus Added Pages for Papers Referred to in Item 4 Above
		Number of pages added
		Plus added pages deleting names of inventor(s) named in prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application.
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	. 🗆	Plus "Assignment Cover Letter Accompanying New Application"
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	-	no further pages form a part of this Transmittal, then end this Transmittal with s page and check the following item)
	X	This transmittal ends with this page.

PTO/SB/35 (11-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

	First Named Inventor		
	Title	DEVICE FO	OR TRAINING THE STANCE LFER
	Atty Docket Number		1496-895

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

7-27-03 Date

John S. Egbert

Typed or printed name Reg. No. 30,627

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.